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Approved for use through 09/30/2000. OMB 0651-0037
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UTILITY PATENT APPLICATION TRANSMITTAL

(Only for new nonprovisional applications under 37 CFR 1.53(b))

Attorney Docket No. 0756-2028

First Inventor or Application Identifier: Ichiro TAKAYAMA et al.

Title: ACTIVE MATRIX TYPE FLAT-PANEL DISPLAY DEVICE

Express Mail Label No.

APPLICATION ELEMENTS

See MPEP chapter 600 concerning utility patent application contents.

ADDRESS TO:

Assistant Commissioner for Patents
Box Patent Application
Washington, DC 20231

1. ☒ Fee Transmittal Form (e.g., PTO/SB/17)
(Submit an original, and a duplicate for fee processing)
2. ☒ Specification Total Pages [20]
(preferred arrangement set forth below)
 - Descriptive title of the invention
 - Cross References to Related Applications
 - Statement Regarding Fed sponsored R & D
 - Reference to Microfiche Appendix
 - Background of the invention
 - Brief Summary of the invention
 - Brief Description of the Drawings (if filed)
 - Detailed Description
 - Claim(s)
 - Abstract of the Disclosure
3. ☒ Drawing(s) (35 USC 113) Total Sheets [5]
4. ☐ Oath or Declaration Total Pages []
 - a. ☐ Newly executed (original or copy)
 - b. ☐ Copy from a prior application (37 CFR 1.63(d))
(for continuation/divisional with Box 17 completed)
[Note Box 5 below]
 - i. ☐ **DELETION OF INVENTOR(S)**
Signed statement attached deleting inventor(s) named in the prior application, see 37 CFR 1.63(d)(2) and 1.33(b).
5. ☐ Incorporation By Reference (useable if Box 4b is checked)
The entire disclosure of the prior application, from which a copy of the oath or declaration is supplied under Box 4b, is considered to be part of the disclosure of the accompanying application and is hereby incorporated by reference therein.

6. ☐ Microfiche Computer Program (Appendix)
7. Nucleotide and/or Amino Acid Sequence Submission (if applicable, all necessary)
 - a. ☐ Computer Readable Copy
 - b. ☐ Paper Copy (identical to computer copy)
 - c. ☐ Statement verifying identity of above copies

ACCOMPANYING APPLICATION PARTS

8. ☐ Assignment Papers (cover sheet & document(s))
9. ☐ 37 CFR 3.73(b) Statement [] Power of Attorney
(when there is an assignee)
10. ☐ English Translation Document (if applicable)
11. ☐ Information Disclosure Statement [] Copies of IDS
(IDS)/PTO-1449 Citations
12. ☒ Preliminary Amendment
13. ☒ Return Receipt Postcard (MPEP 503)
(Should be specifically itemized)
14. ☐ *Small Entity [] Statement filed in prior application,
Statement(s) Status still proper and desired
(PTO/SB/09-12)
15. ☐ Certified Copy of Priority Document(s)
(if foreign priority is claimed)
16. ☐ Other:

*A new statement is required to be entitled to pay small entity fees, except where one has been filed in a prior application and is being relied upon.

17. If a CONTINUING APPLICATION, check appropriate box, and supply the requisite information below and in a preliminary amendment:

Divisional of prior application Serial No. 08/547,919 filed October 25, 1995.

Prior application information: Examiner: _____ Group/Art Unit: 2609

18. CORRESPONDENCE ADDRESS

☒ Customer Number or Bar Code Label

Customer No. 22204

or ☐ Correspondence address below

(Insert Customer No. or Attach bar code label here)

Name: Eric J. Robinson
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Name: Eric J. Robinson

Registration No. 38,285

Signature


Date: September 13, 1999

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FEE TRANSMITTAL		Complete If Known																																																					
<i>Patent fees are subject to annual revision on October 1. These are the fees effective October 1, 1997. Small Entity payments must be supported by a small entity statement, otherwise large entity fees must be paid. See Forms PTO/SB/09-12.</i>		Application Number																																																					
		Filing Date		September 13, 1999																																																			
		First Named Inventor		Ichiro TAKAYAMA et al.																																																			
		Examiner Name																																																					
		Group Art Unit		2609																																																			
TOTAL AMOUNT OF PAYMENT		\$760.00		Attorney Docket Number		0756-2028																																																	
METHOD OF PAYMENT (check one)				3. ADDITIONAL FEES FEE CALCULATION (continued)																																																			
1. <input checked="" type="checkbox"/> The Commissioner is hereby authorized to charge indicated fees and credit any over payments to: Deposit Account No. 19-2380 Deposit Account Name: SIXBEY, FRIEDMAN, LEEDOM & FERGUSON, PC <input checked="" type="checkbox"/> Charge Any Additional Fee Required Under 37 CFR 1.16 and 1.17 <input type="checkbox"/> Charge the Issue Fee Set in 37 CFR 1.18 at the Mailing of the Notice of Allowance 2. <input checked="" type="checkbox"/> Payment Enclosed: <input checked="" type="checkbox"/> Check <input type="checkbox"/> Money Order <input type="checkbox"/> Other				Large Entity		Small Entity		Fee Description	Fee Paid																																														
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1. BASIC FILING FEE <table border="1" style="width: 100%; border-collapse: collapse;"> <thead> <tr> <th colspan="2">Large Entity</th> <th colspan="2">Small Entity</th> <th rowspan="2">Fee Description</th> <th rowspan="2">Fee Paid</th> </tr> <tr> <th>Fee Code</th> <th>Fee (\$)</th> <th>Fee Code</th> <th>Fee (\$)</th> </tr> </thead> <tbody> <tr><td>101</td><td>760</td><td>201</td><td>380</td><td>Utility filing fee</td><td>[760]</td></tr> <tr><td>106</td><td>310</td><td>206</td><td>155</td><td>Design filing fee</td><td>[]</td></tr> <tr><td>107</td><td>480</td><td>207</td><td>240</td><td>Plant filing fee</td><td>[]</td></tr> <tr><td>108</td><td>760</td><td>208</td><td>380</td><td>Reissue filing fee</td><td>[]</td></tr> <tr><td>114</td><td>150</td><td>214</td><td>75</td><td>Provisional filing fee</td><td>[]</td></tr> <tr><td colspan="5" style="text-align: right;">SUBTOTAL (1)</td><td>\$760.00</td></tr> </tbody> </table>				Large Entity		Small Entity		Fee Description	Fee Paid	Fee Code	Fee (\$)	Fee Code	Fee (\$)	101	760	201	380	Utility filing fee	[760]	106	310	206	155	Design filing fee	[]	107	480	207	240	Plant filing fee	[]	108	760	208	380	Reissue filing fee	[]	114	150	214	75	Provisional filing fee	[]	SUBTOTAL (1)					\$760.00	105	130	205	65	Surcharge-late filing fee or oath	
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SUBTOTAL (1)					\$760.00																																																		
127	50	227	25	Surcharge-late provisional filing fee or cover sheet																																																			
139	130	139	130	Non-English specification																																																			
147	2,520	147	2,520	For filing a request for reexamination																																																			
112	920*	112	920*	Requesting publication of SIR prior to Examiner action																																																			
113	1,840*	113	1,840*	Requesting publication of SIR after Examiner action																																																			
115	110	215	55	Ext for reply within first month																																																			
116	380	216	190	Ext for reply within second mth																																																			
117	870	217	435	Ext for reply within third mth																																																			
118	1,360	218	680	Ext for reply within fourth mth																																																			
128	1,850	228	925	Ext for reply within fifth month																																																			
119	300	219	150	Notice of Appeal																																																			
120	300	220	150	Filing brief in support of appeal																																																			
121	260	221	130	Request for Oral Hearing																																																			
138	1,510	138	1,510	Petition to institute public use proceeding																																																			
140	110	240	55	Petition to revive-unavoidable																																																			
141	1,210	241	605	Petition to revive-unintentional																																																			
142	1,210	242	605	Utility issue fee (or reissue)																																																			
143	430	243	215	Design issue fee																																																			
144	580	244	290	Plant issue fee																																																			
122	130	122	130	Petitions to the Commissioner																																																			
123	50	123	50	Petitions related to provisional applications																																																			
126	240	126	240	Submission of IDS																																																			
581	40	581	40	Recording each patent assignment per property (times number of properties)																																																			
146	760	246	380	Filing a submission after final rejection (37 CFR 1.129(a))																																																			
149	760	249	380	For each additional invention to be examined (37 CFR 1.129(b))																																																			
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SUBMITTED BY						Complete (if applicable)																																																	
Typed or Printed Name		Eric J. Robinson				Reg. Number		38,285																																															
Signature				Date		September 13, 1999		Deposit Account User ID		19-2380																																													

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